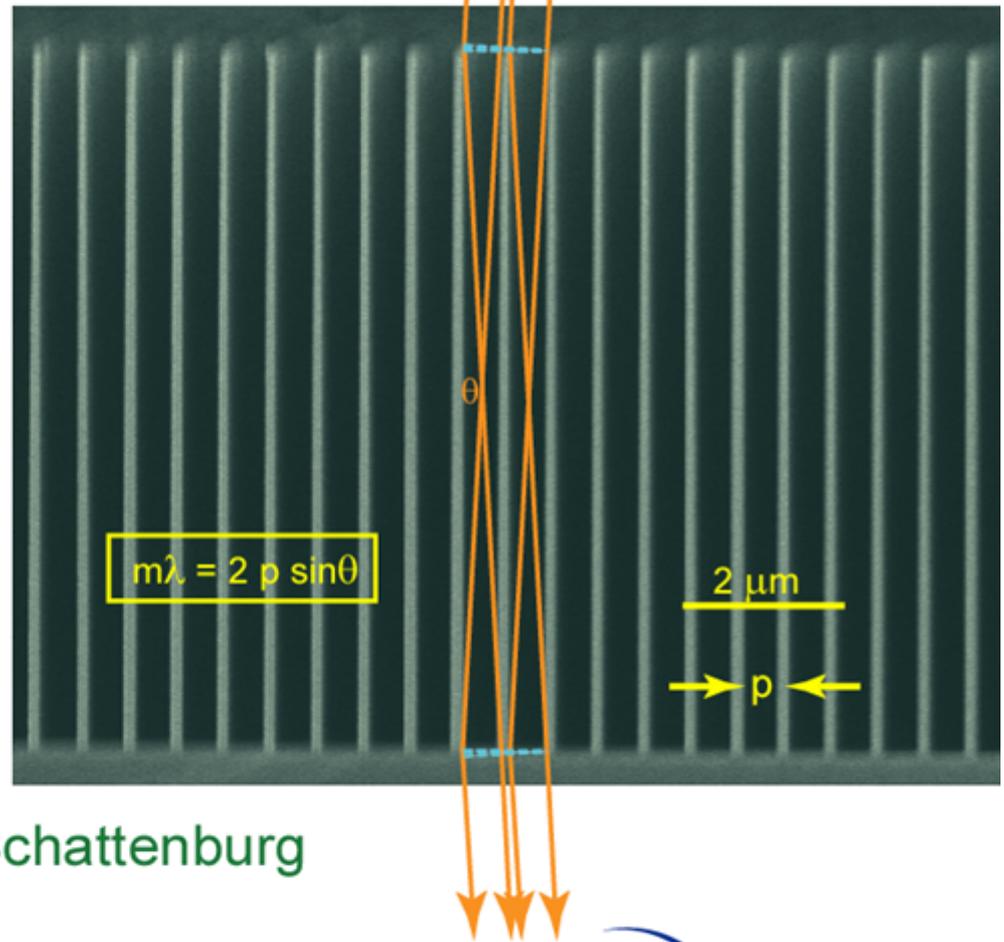




# Critical-Angle Transmission Gratings for the International X-Ray Observatory



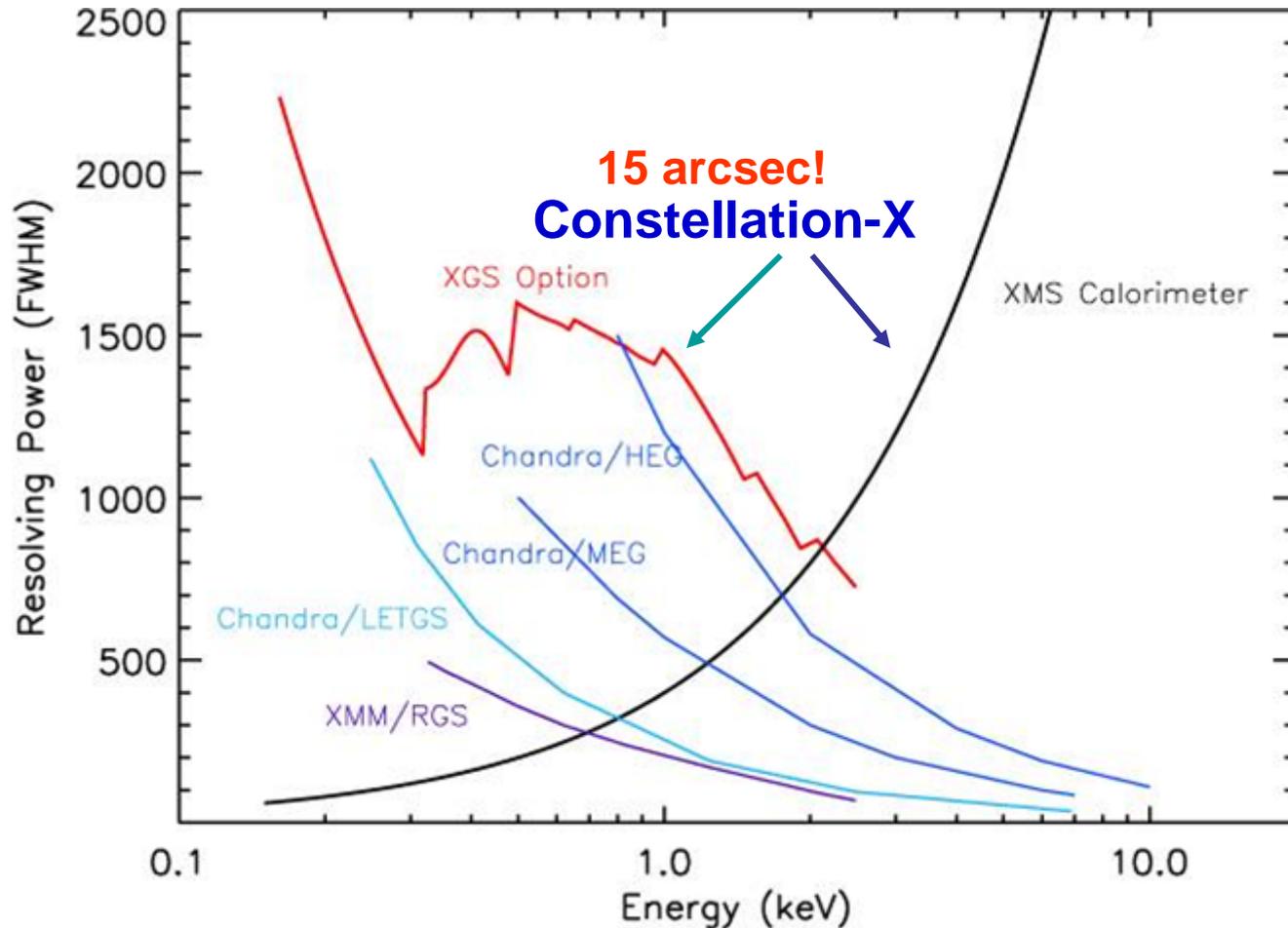
**Ralf K. Heilmann,**  
**Minseung Ahn, and Mark L. Schattenburg**

Space Nanotechnology Laboratory  
MIT Kavli Institute for Astrophysics and Space Research  
Massachusetts Institute of Technology

Con-X/IXO Facility Science Team Meeting  
NASA GSFC, Greenbelt, MD, Aug. 20, 2008

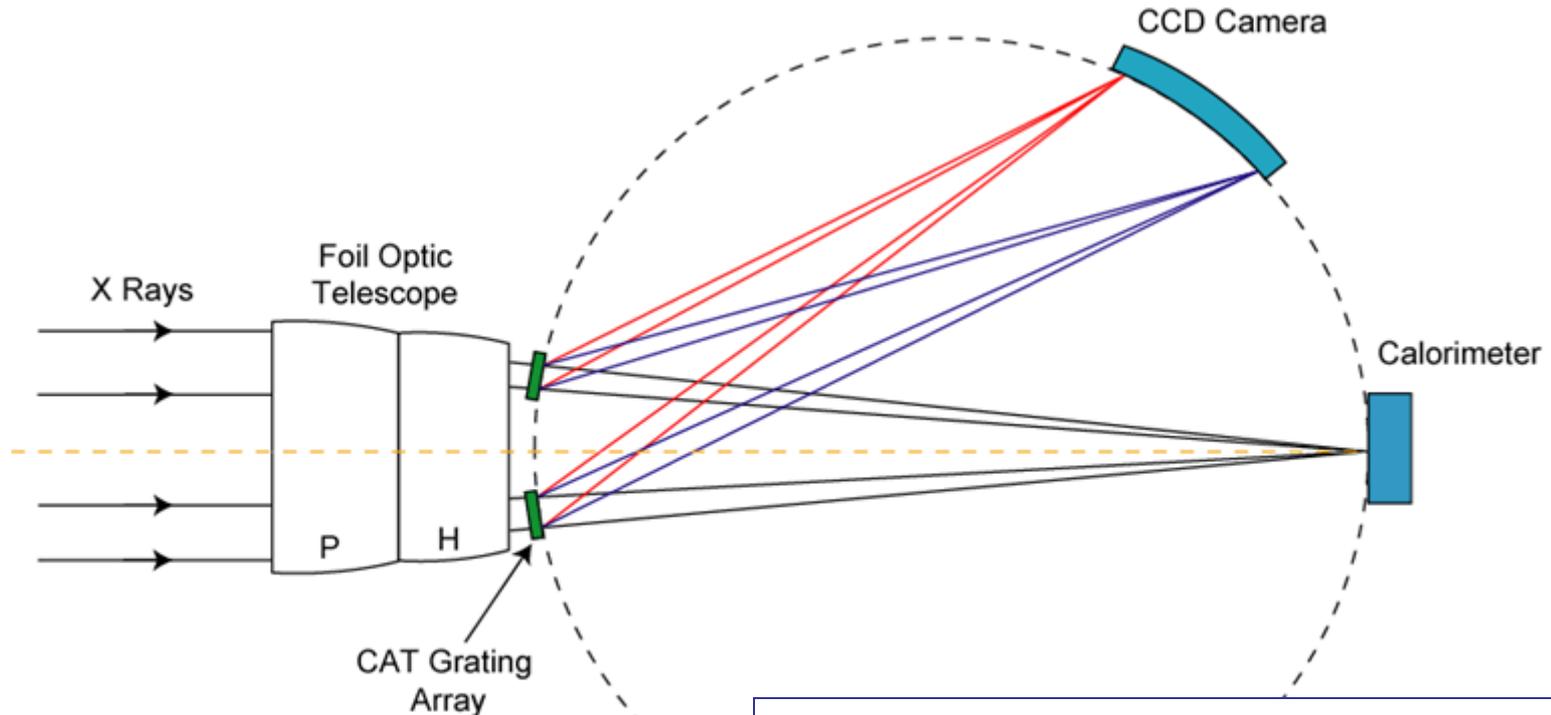


# Constellation-X spectral resolution compared to previous missions



Grating (XGS) with a fixed  $\Delta\lambda$  dispersion provides higher spectral resolution at low energies, while fixed  $\Delta E$  of a calorimeter (XMS) provides higher spectral resolution at higher energies

# IXO CAT (Critical-Angle Transmission) Grating Spectrometer Concept



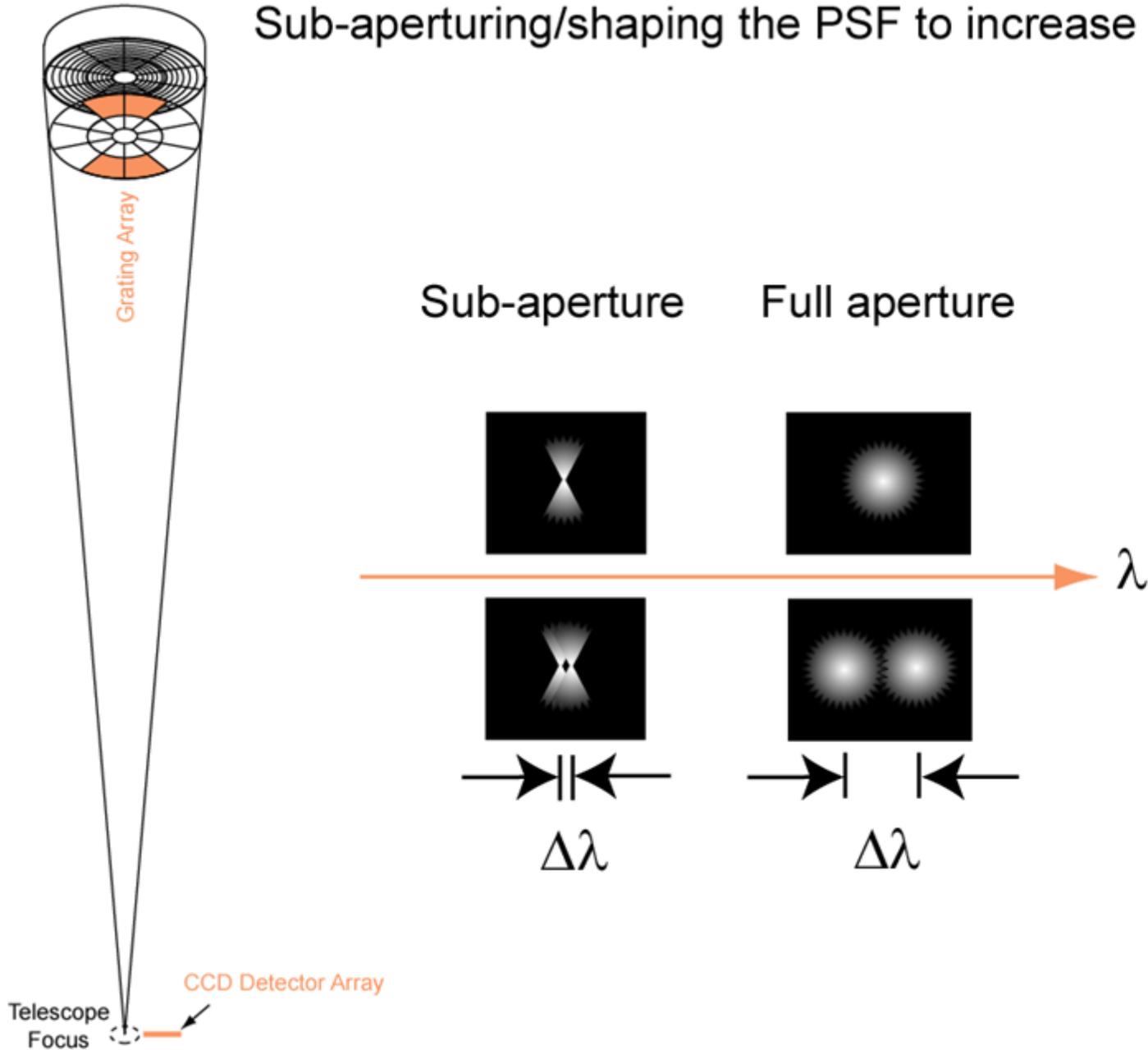
- IXO: 5 arcsec telescope
- CAT gratings blazed 3 deg from optical axis

→ **<R> ~ 2000**  
without sub-aperturing!

## Advantages:

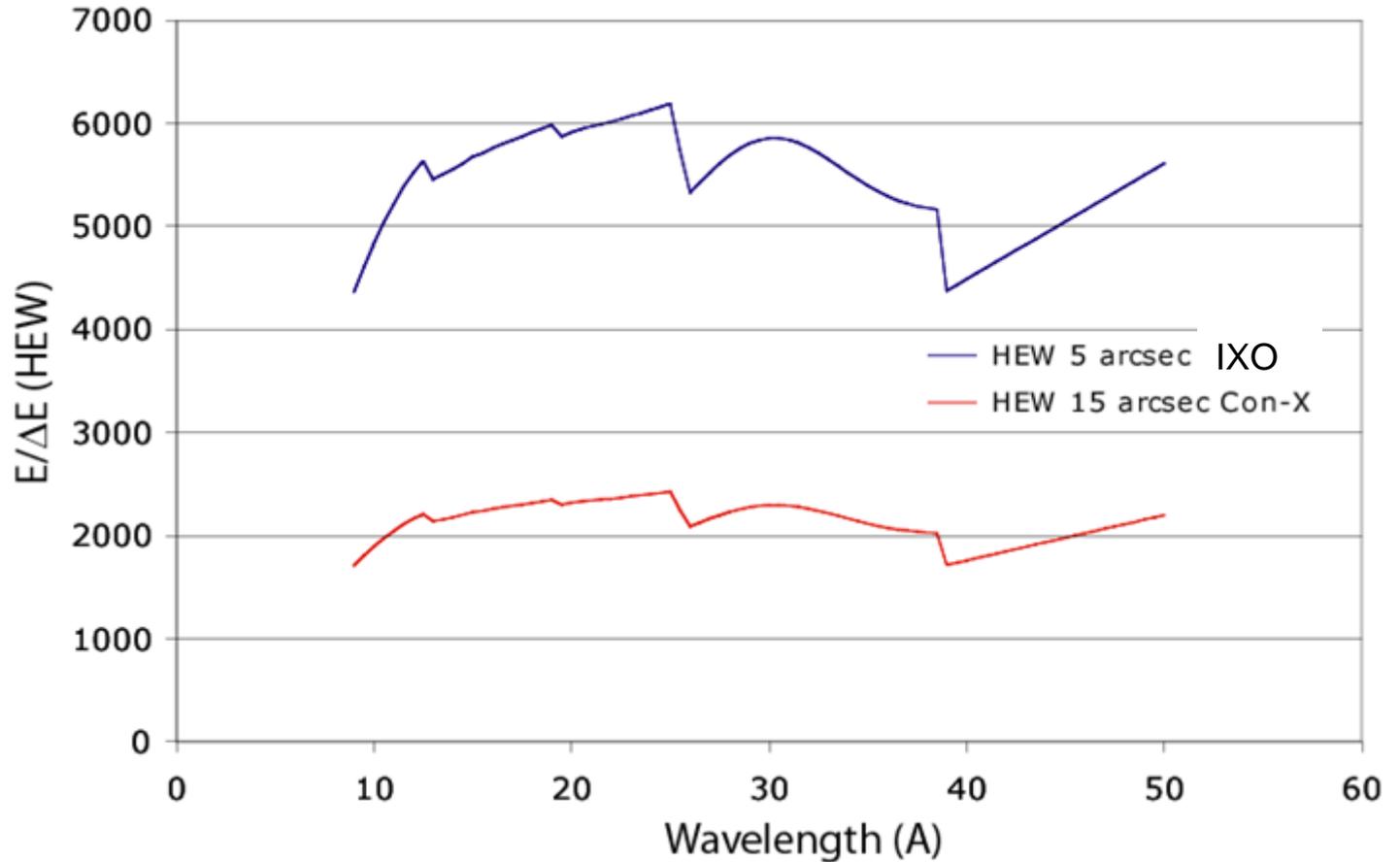
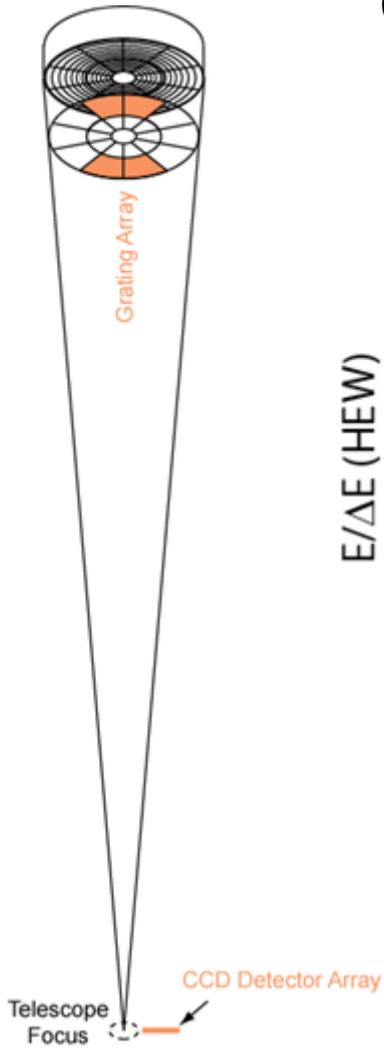
- low mass
- relaxed assembly tolerances
- high diffraction efficiency
- up to 4X dispersion of Chandra HETGS
- no pos. orders (i.e., smaller detector)

# Sub-aperturing/shaping the PSF to increase the resolution



# Efficiency-Weighted Resolving Power (HEW)

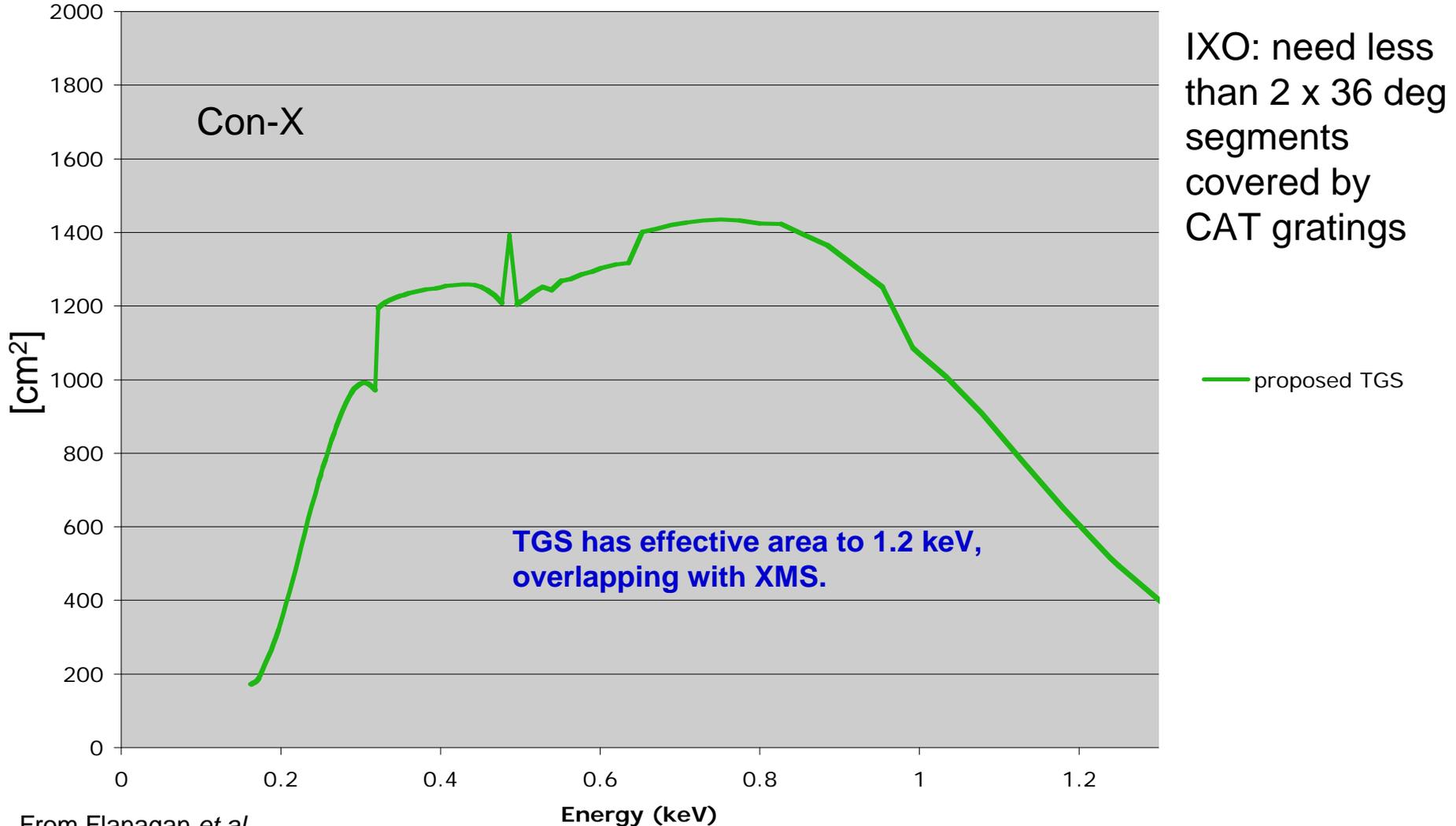
(sub-apertured, two opposing 72 deg segments)



From Flanagan *et al.*, *Proc. SPIE* 6688 (2007)

# Effective Area of TGS provides high spectral resolution to 1keV and above

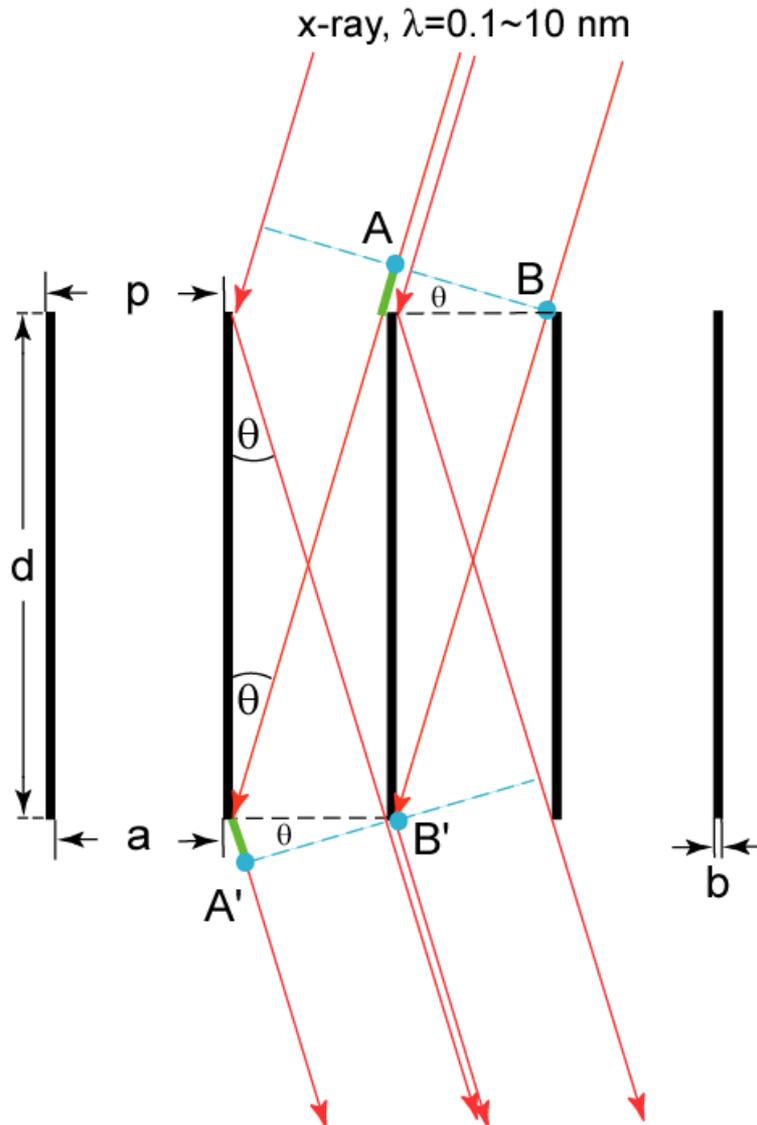
Effective Area of TGS



From Flanagan *et al.*

Heilmann *et al.*, CAT gratings for IXO

# Critical Angle Transmission (CAT) Grating



**Constructive interference when:**  
path length difference (PLD)  
between A' and B'

$$PLD = 2 p \sin(\theta) = m \lambda$$

**Blazing:** high diffraction  
efficiency when diffracted order  
coincides with specular  
reflection off of grating facet

**Refractive index and critical angle  
for x-ray and EUV :**

$$n=1-\delta+i\beta, \delta \ll 1, \beta \ll 1, \beta \neq 0$$

$$\theta_c=(2\delta)^{1/2} : \sim 1 \sim 2^\circ$$

**High reflectivity when:**

$\theta < \theta_c$  , total external reflection

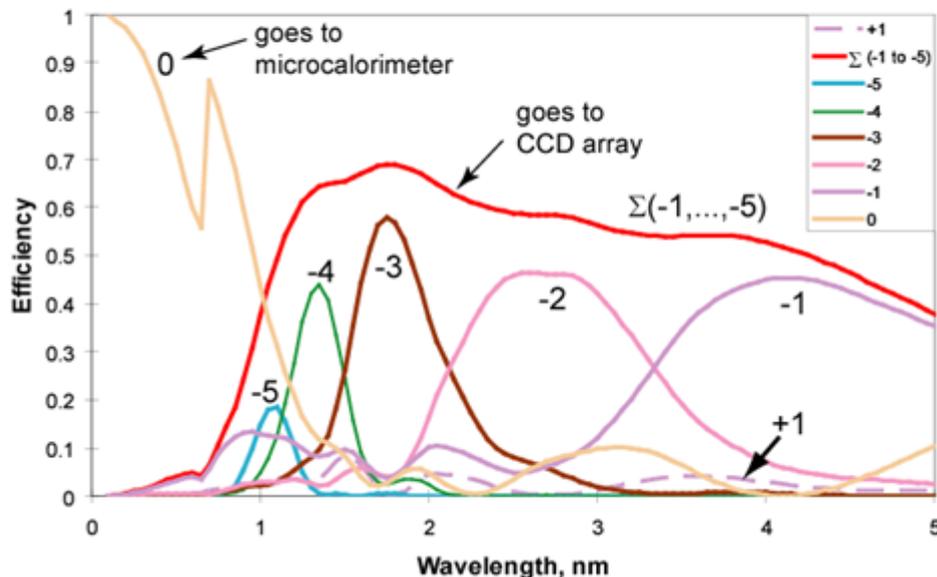
⇒ **Critical-Angle Transmission (CAT)  
Grating**

See also Heilmann *et al.*, Opt. Express 16, 8658 (2008)

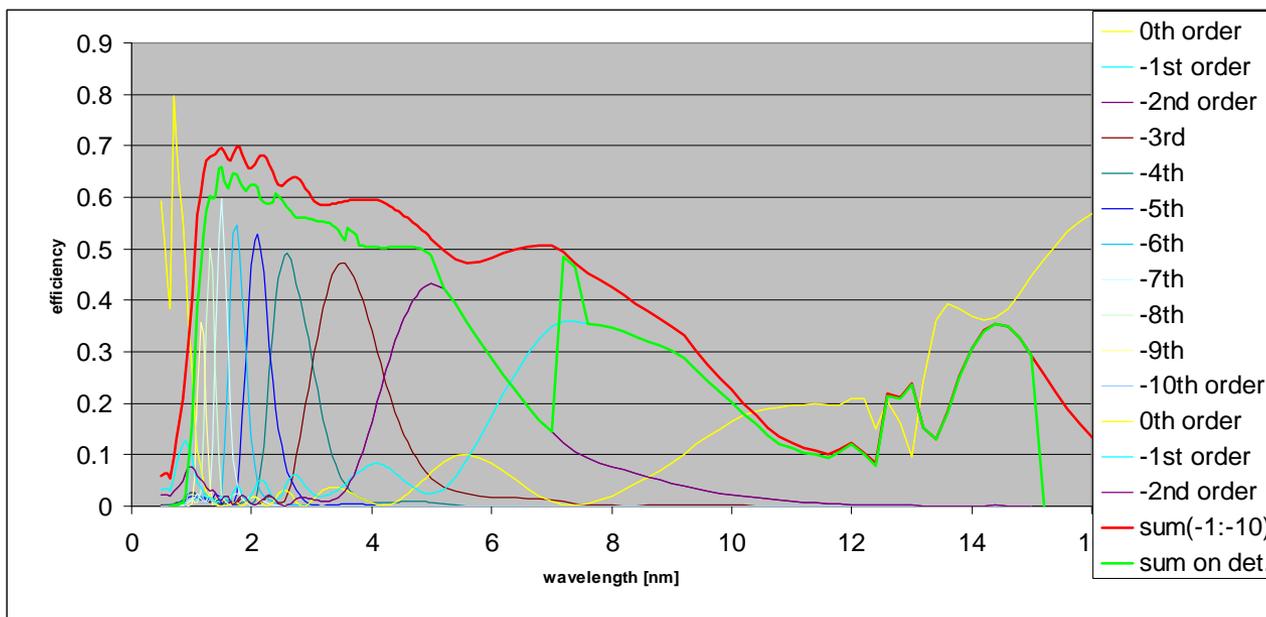
# Predicted Silicon CAT Grating Diffraction Efficiency:

(blaze 3 deg from 0<sup>th</sup> order)

- Pronounced blazing
- High efficiency in 1<sup>st</sup> - 5<sup>th</sup> order: broad bandpass
- Little loss in 0<sup>th</sup> order (calorimeter) at shorter wavelengths



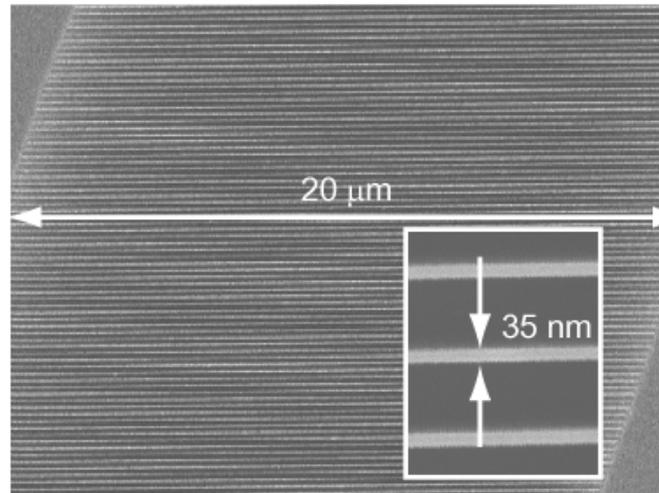
period  
 $p = 100 \text{ nm}$



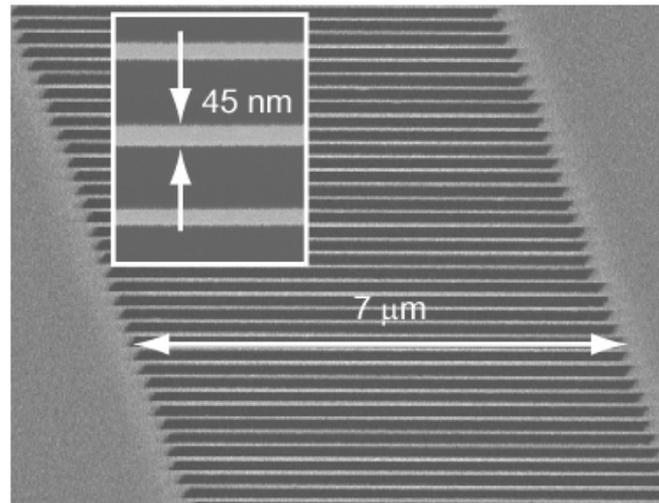
period  
 $p = 200 \text{ nm}$

# Recent 200 nm-period CAT grating fabrication results

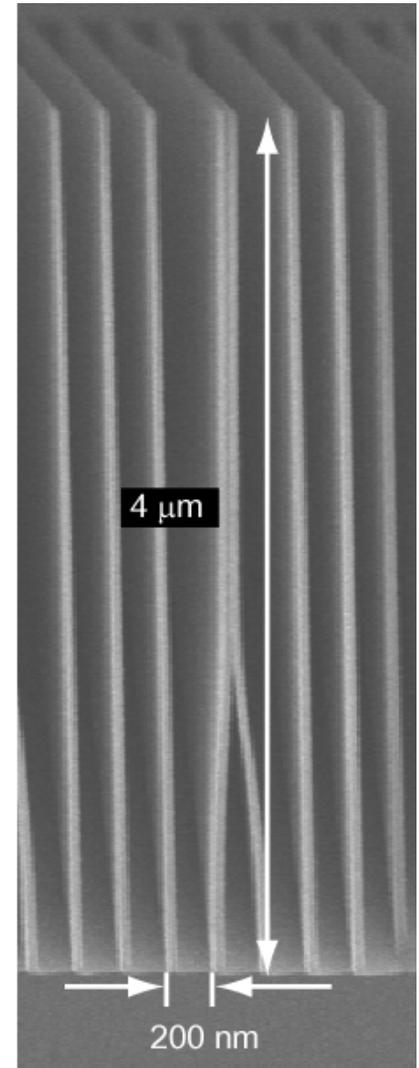
- Smaller period
- Smaller sidewall angle
- Higher etch anisotropy
- Larger process latitude
- Larger open area



(a)



(b)

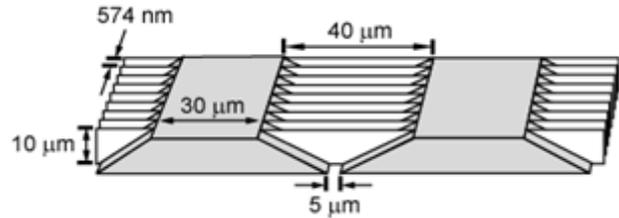


(c)

Scanning electron micrographs:

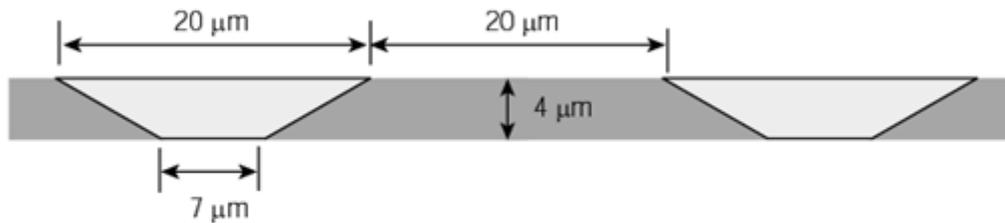
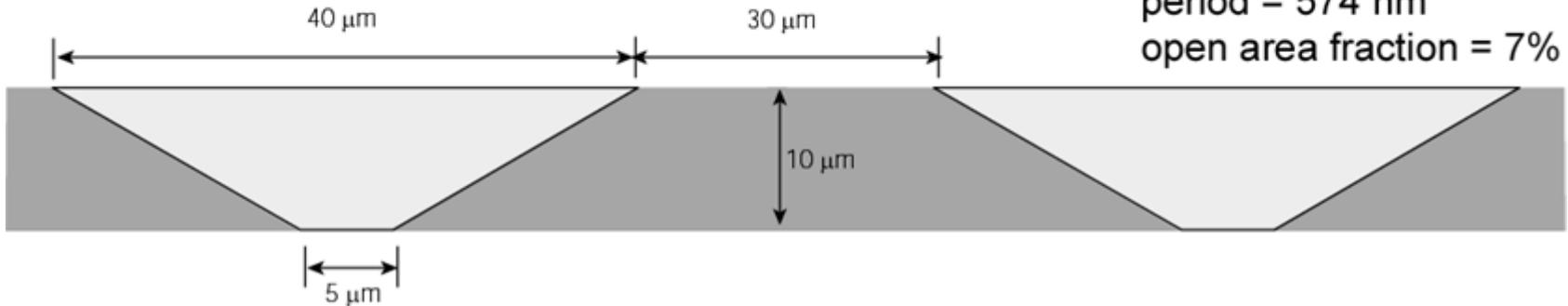
- (a) Top view
- (b) Bottom view
- (c) Cross section (destructive)

# CAT grating fabrication development up to date:



May 2007

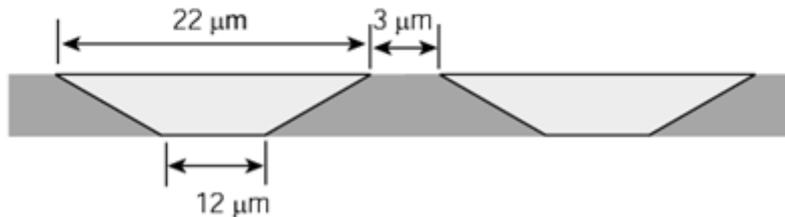
period = 574 nm  
open area fraction = 7%



open area fraction:

17.5%

period = 200 nm

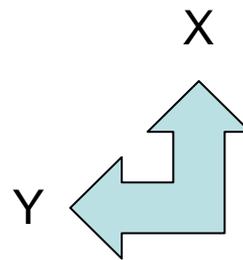
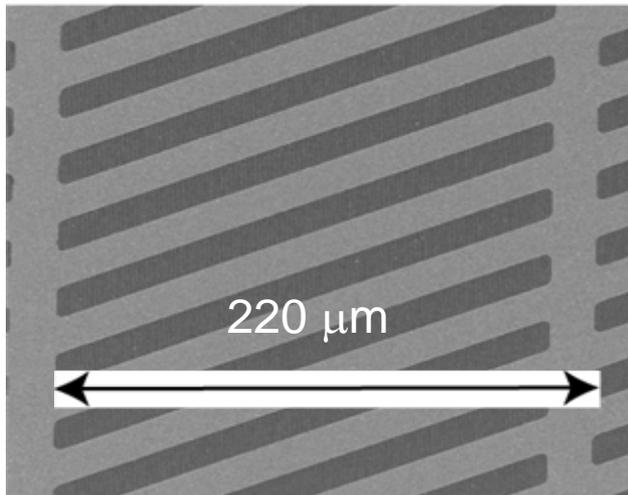
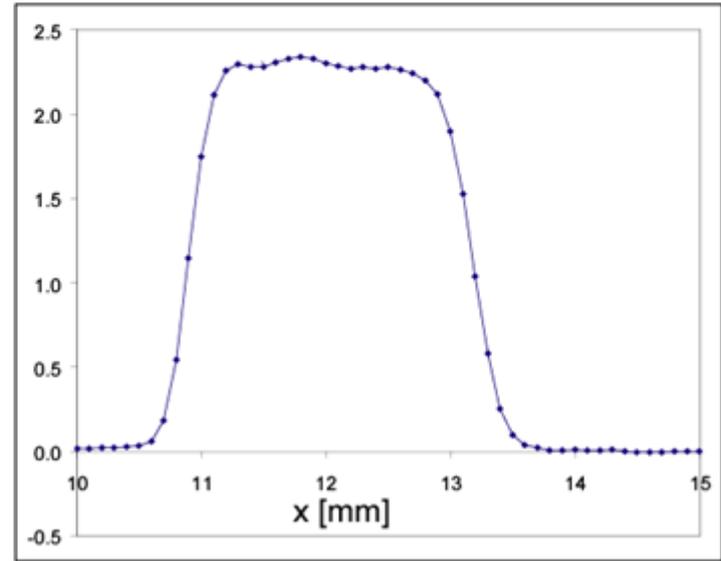
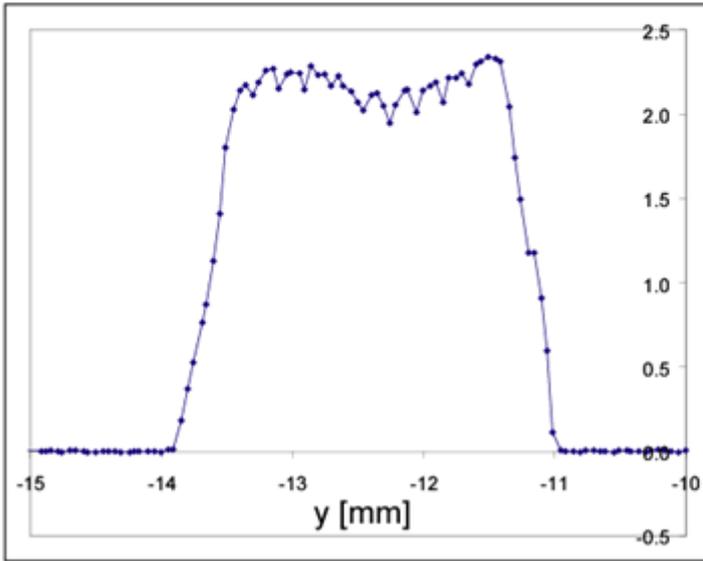


48%

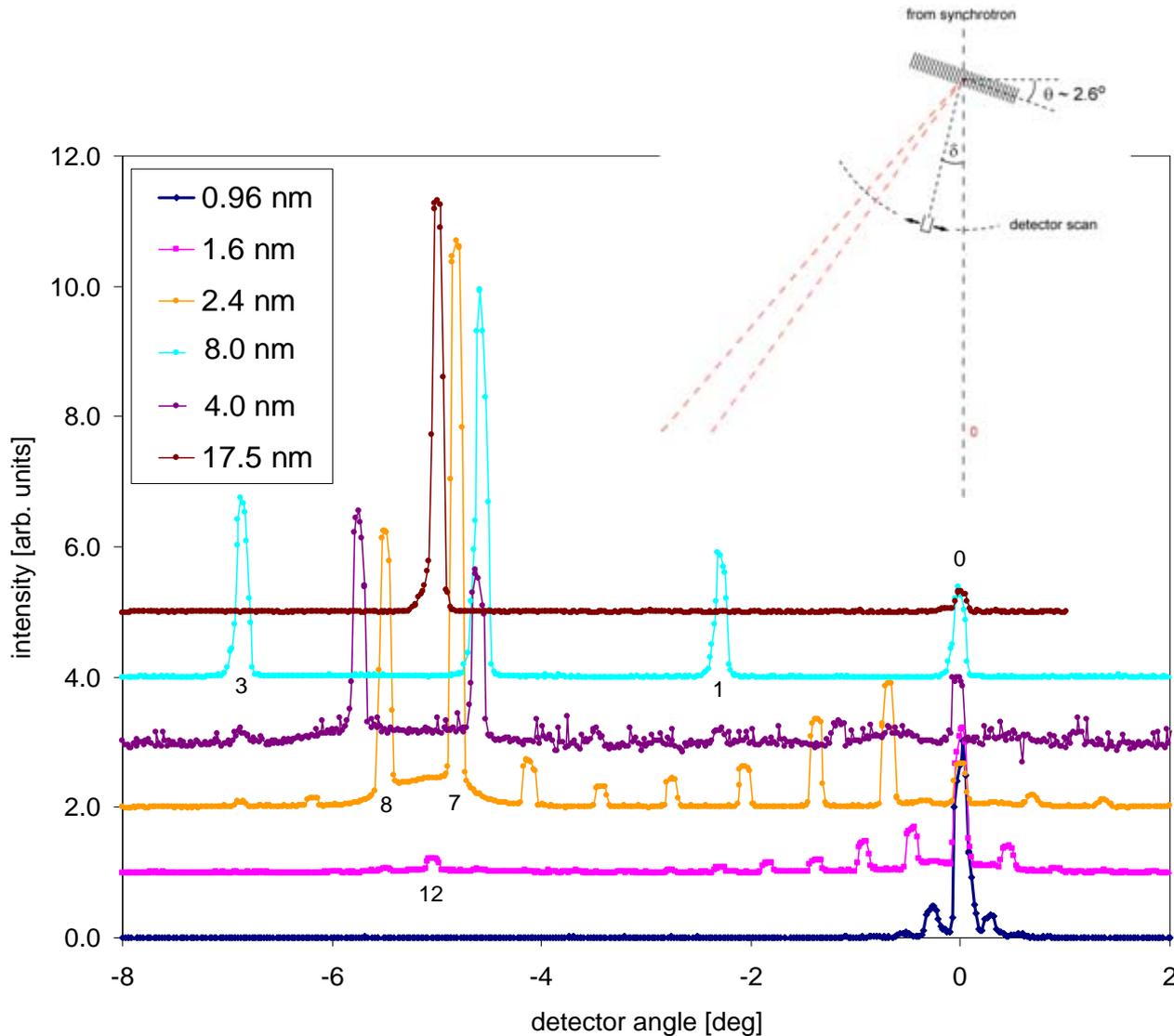
June 2008

⇒ X-ray tests

# Grating Homogeneity: Scanning grating through beam, detector on blaze



# First x-ray data from 200 nm-period CAT gratings



- strong blazing
- reduced blazing for  $\lambda$  with  $\theta_c(\lambda) < \alpha$
- 0<sup>th</sup> order transmitted at shortest wavelengths (CAT grating becomes weak phase grating)

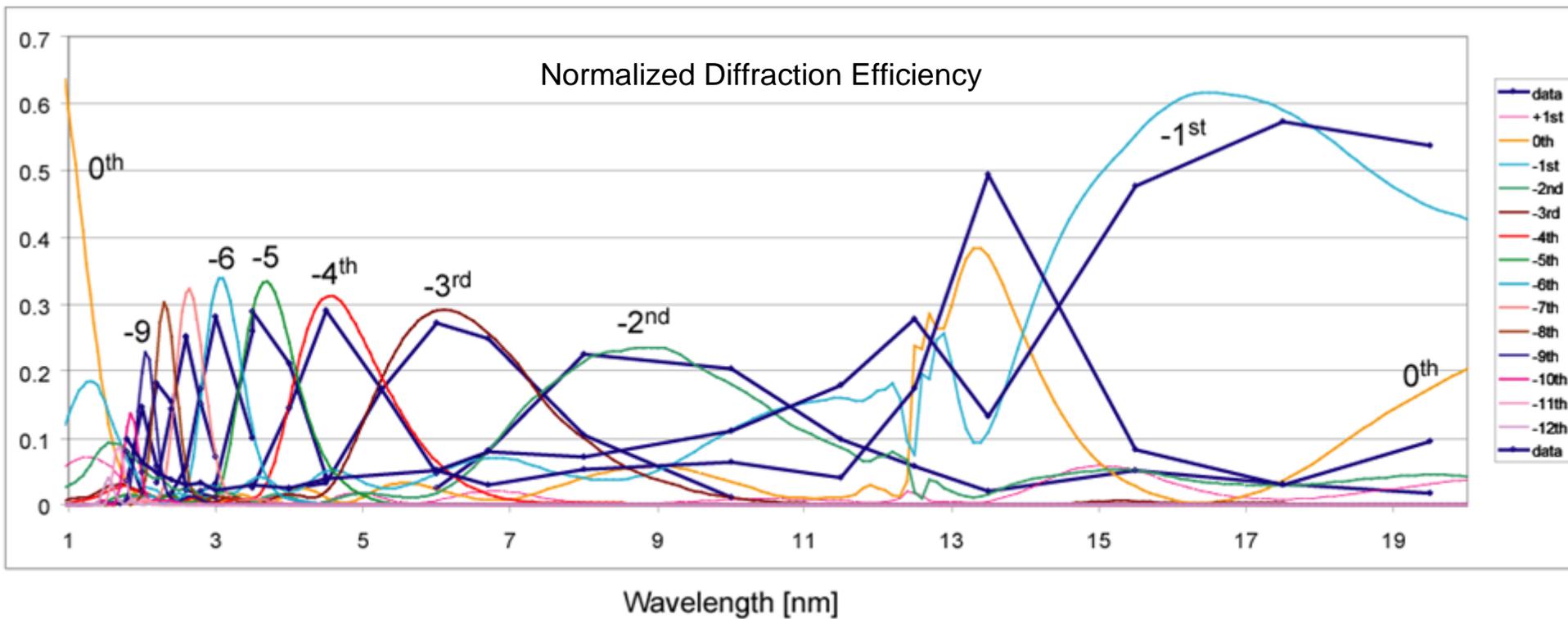
$\alpha = 2.6$  deg  
(blaze 5.2 deg from 0<sup>th</sup> order)

**Raw data  
(not normalized)**

Heilmann *et al.*  
Proc. SPIE 7011 (2008)

# Diffraction Efficiency: Theory and Experiment

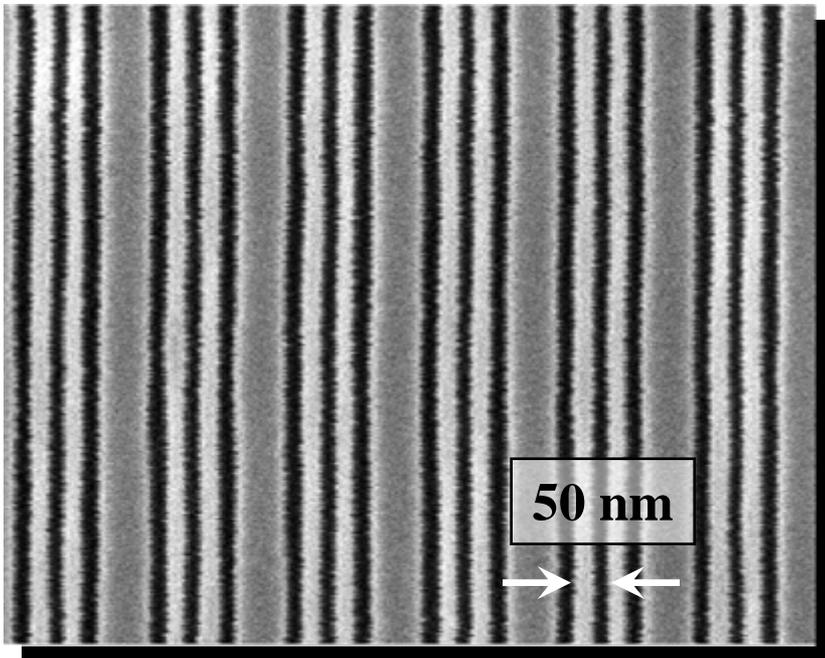
$p = 200 \text{ nm}$ ,  $\theta = 2.6 \text{ deg}$  (blaze at  $5.2 \text{ deg}$ . from  $0^{\text{th}}$  order)



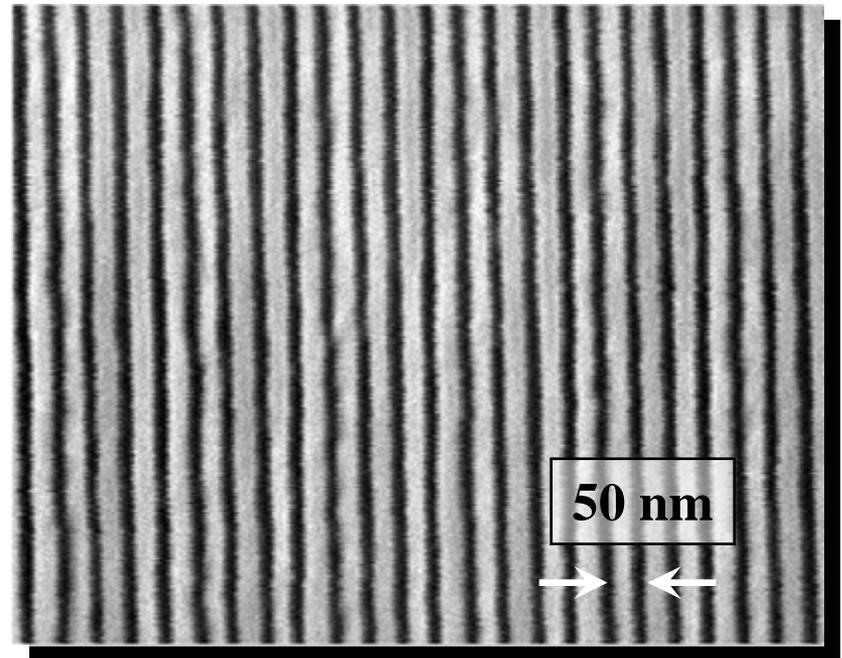
## Four-fold Multiplication: 50 nm-period Grating

Chang *et al.*, Opt. Lett. 33, 1572 (2008)

Three grating levels



Four grating levels



- In-house IL capabilities for large-area gratings down to 50 nm period.

# Summary

- Demonstrated high-efficiency blazing in soft x-ray transmission gratings, close to theoretical predictions.
- Critical-Angle Transmission (CAT) gratings combine advantages of transmission and reflection gratings (low mass, relaxed tolerances, high diffraction efficiency).
- Blazing for higher orders enables TG spectrograph with high resolution ( $\sim 2000 - 6000$ ).
- Effective area requirements easy to satisfy, lots of room for trades.
- Need to increase open area.
- Need to increase grating bar aspect ratio ( $100 \rightarrow 150$ ).
- 200 nm period might be sufficient, but can go to 100 nm period if needed.

# Acknowledgements

- Chih-Hao Chang, Yong Zhao (multilevel interference lithography)
- Kathryn Flanagan (STScI) (TGS)
- Eric Gullikson (ALS, LBNL)
- R. Fleming (SNL)
- NASA (ROSES APRA), Samsung Fellowship, Kavli Instrumentation Fund